

## TUTTE POLYNOMIAL AND EHRHART POLYNOMIAL FOR ZONOHEDRON

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### ABSTRACT

A polytope play a central role in different area of mathematics, for this we take of polytope which is known as a zonohedron then defined the matroid and arithmetic matroid. Multiplicity Tutte polynomial and Ehrhart polynomial to a zonohedron  $Z(X)$  in 2-dimension and 3-dimension are also given. A detailed for (D.Moci) theorem are proved by using multiplicity Tutte polynomial and establish some corollaries for the volume and the number of integral points of  $Z(X)$ .

Theorem for the relation between the numbers of integral points on a zonohedron and the set of generating vectors with its proof is given. Combinatorial interpretation of the associated multiplicity Tutte polynomial with different examples is presented to demonstrate our results.

**KEYWORDS:** Ehrhart Polynomial, Tutte Polynomial, Zonohedron

### INTRODUCTION

A zonohedron is a convex polyhedron where every face is a polygon with point symmetry or, equivalently symmetry under rotations through  $180^\circ$ . Any zonohedron may equivalently be described as the minkowski sum of a set of line segments in three –dimensional space.

Zonohedra were originally defined and studied by E.S. Fedorov, a Russian crystallographer. It is called zonotope because the faces parallel to each vector form so-called zone wrapping around the polytope  $P$ . In this paper, we focus on the case when  $P$  is a zonotope. Let  $X$  be a finite list of vectors in  $\Lambda = \mathbb{Z}^n$ . Assume that  $X$  spans  $\mathbb{R}^n$  as a vector space, then

$$Z(X) \doteq \{ \sum_{x \in X} t_x x, \quad 0 \leq t_x \leq 1 \}.$$

is a convex polytope with integer vertices, called the zonotope of  $X$ . Zonotopes plays a crucial role in several areas of mathematics, such as hyperplane arrangements, box splines, and partition functions[7]. Several mathematical constructing from such a set  $X$  are: hyperplane arrangements and zonotopes in geometry, root systems and parking functions in combinatorics, for more information, Holts and Ron [10], introduced various algebraic structures containing a rich description of these objects.

Tutte polynomial is an invariant naturally associated to a matroid and encoding many of its features which are the number of bases and their internal and external activity ([5], [6], [11]). A central role of this framework lie in the combinatorial notation of matroid, which axiomatizes the linear independence of the elements of  $X$ , where  $X$  is a finite list of vectors.

The present paper aims is to defined and investigate the Tutte polynomial  $T_x(x, y)$  of matroid,

$$T_x(x, y) = \sum_{A \in X} (x - 1)^{n-r(A)} (y - 1)^{|A|-r(A)}$$

Where,  $n$  means the dimension of lattice  $n$ -dimensional space  $\mathbb{Z}^n$ ,  $r(A)$  is the rank of  $A$ ,  $|A|$  is the cardinality of an independent subset of  $A$ .

The coefficients of the Tutte polynomial must be positive, then introduce the notation of arithmetic matroid  $(X, I, m)$  that is going to matroid  $(X, I)$  with multiplicity function  $m(A), A \subseteq X$  which is notify in the next sections, The multiplicity matroid  $(X, I, m)$  and multiplicity Tutte polynomial  $M_{X(x,y)}$  is the main subject of this paper. The relations with the zonotopes  $Z(X)$ , a class of functions studied in approximation theory [9].

In addition, every arithmetic matroid associated an arithmetic(multiplicity) Tutte polynomial:

$$M_X(x, y) = \sum_{A \subseteq X} m(A) (x - 1)^{n-r(A)} (y - 1)^{|A|-r(A)}$$

where  $m(A)$  is the greatest common divisor will be present with more details in the next sections, this polynomial is defined in [4], it is shown to have several applications to vector partition functions, toric arrangements and zonotops.  $M_X(x, y)$  Have also applications to graph theory, which have been described in [13].

The Ehrhart polynomial of a convex lattice polytope counts number of integer points in integral dilated of the polytope, this polynomial is a very important in many fields of mathematics, Therefore our first contribution is to establish a new relation satisfied by the coefficients of the Ehrhart polynomial.

In section three of this paper gives a method for finding the Ehrhart polynomial of the zonohedron  $Z(X)$ , using the formula of the multiplicity(arithmetic) Tutte polynomial  $M_X(x, y)$  such that: [1]

$$\mathcal{E}_x(q) = q^n M_x(1 + \frac{1}{q}, 1)$$

Where,  $q$  means the dilated of the polytope.

## PRELIMINARIES

This section is started by recalling the notations that we are going to introduce:

**Definition (1):** A matroid  $\mathfrak{M}$  is a pair  $(X, I)$  where  $X$  is a finite set and  $I$  is a family of subsets of  $X$  (call the independent sets). Some properties of matroid:

- The empty set is independent.
- Every subset of an independent set is independent.
- Let  $A$  and  $B$  be two independent sets and assume that  $A$  has more elements than  $B$ . Then there is exist an element  $a \in A \setminus B$  such that  $B \cup \{a\}$  is still independent.

**Example (1):**  $X$  is a finite list of vectors of a vector space  $\mathbb{R}^n$ , independent=linearly independent.

**Definition (2):** A multiplicity matroid is the triple  $(X, I, m)$  where  $(X, I)$  is a matroid,  $m$  is a multiplicity function  $m: P(X) \rightarrow \mathbb{N}/\{0\}$ ,  $P(X)$  is a power set of  $X$ , [3]. We say that  $m$  is trivial multiplicity if it is identity equal to 1.

**Definition (3):** Let  $X \subset \Lambda = \mathbb{Z}^n$ , for every  $A \subseteq X$ , let  $r(A)$  is the rank of  $A$ , i.e. The number of all spanned subspace of  $\mathbb{R}^n$  [1].

The Tutte polynomial of the matroid is defined as, [7]:

$$T_x(x, y) = \sum_{A \subseteq X} (x - 1)^{n-r(A)} (y - 1)^{|A|-r(A)}$$

Where ,

$n$ =the dimension of the lattice  $n$ -dimensional space  $\mathbb{Z}^n$ .

$|A|$ = the maximal cardinality of an independent subset of  $A$ .

**Remark (1):**  $A$  is independent  $\leftrightarrow r(A) = |A|$ , where  $A \subseteq X$ .

**Definition (4):**  $(X, I, m)$  is **representable**, means that the arithmetic(multiplicity) matroid is realized by a list of elements in a finitely generated abelian group, the classical matroid  $(X, I)$  is said to be representable in characteristic 0 or (0-representable) if it is realized by a list of vectors in  $\mathbb{R}^n$  [6].

Following [4], we denote  $\langle A \rangle_{\mathbb{Z}}$  and  $\langle A \rangle_{\mathbb{R}}$  respectively the sublattice of  $\Lambda$  and the subspace of  $\mathbb{R}^n$  spanned by  $A$ . now define:

$$\Lambda_A \doteq \Lambda \cap \langle A \rangle_{\mathbb{R}}$$

The largest sublattice of  $\Lambda$  in which  $\langle A \rangle_{\mathbb{Z}}$  has finite index. We defined  $m$  as this index, [1]:

$$m(A) \doteq [\Lambda_A : \langle A \rangle_{\mathbb{Z}}].$$

Notice that for every  $A \subset X$  of a maximal rank,  $m(A)$  is equal to the greatest common divisor of the determinants of the basis extracted from  $A$ .

**Definition (5):** Let  $X \subset \Lambda = \mathbb{Z}^n$ , for every  $A \subseteq X$ , let  $r(A)$  is the rank of  $A$ , i.e. The number of all spanned subspace of  $\mathbb{R}^n$  [1].

The **(multiplicity) or arithmetic** Tutte polynomial of a multiplicity matroid

$$M_X(x, y) = \sum_{A \subseteq X} m(A) (x-1)^{n-r(A)} (y-1)^{|A|-r(A)}$$

**Remark (2):** The list  $X$  is unimodular if every basis  $B$  extracted from  $X$  spans  $\Lambda$  over  $\mathbb{Z}$ . (i.e.  $B$  has determinant=1) in this case  $m(A) = 1$ . for every  $A \subset X$  then  $M_X(x, y) = T_X(x, y)$ .

## EHRHART POLYNOMIAL

**Definition (6):** let  $P \subset \mathbb{R}^d$  be a lattice  $d$ -polytope, define a map  $L: \mathbb{N} \rightarrow \mathbb{N}$  by

$$L(P, t) = \text{card}(tP \cap \mathbb{Z}^n), \text{ where 'card' means the cardinality of } (tP \cap \mathbb{Z}^n) \text{ and } \mathbb{N}$$

Is the set of natural numbers and  $tp$  is the dilated polytope. It is seen that  $L(P, t)$  can be represented as:  $L(P, t) = \sum_{i=1}^d c_i t^i$ , this polynomial is said to be the Ehrhart Polynomial of a lattice  $d$ -polytope  $P$ , [16].

**Remark (3):** let  $P \subset \mathbb{R}^d$  be a lattice 2-polytope, the Ehrhart polynomial of  $P$  is given


By:

$$L(P, t) = At^2 + \frac{1}{2}Bt + 1$$

Where  $A$  is the area of the polytope and  $B$  is the number of lattice points on the boundary of  $P$ , [16].

## Ehrhart Polynomial with Multiplicity Tutte Polynomial: [1]

The secondary result in this paper is:

$$\mathcal{E}_x(q) = q^n M_x(1 + \frac{1}{q}, 1)$$


**Ehrhart    dilated    multiplicity Tutte polynomial**

## Polynomial

Now some theorems are given below with it's proof:

## THEOREMS

**Definition (7):** let  $P \subset \mathbb{R}^d$  be a lattice d-polytope. For  $t \in \mathbb{Z}^+$ , the set

$tP = \{tX : X \in P\}$  is said to be the dilated polytope.

In the next proofs we use  $qX \doteq \{qX, X \in X\}$ . as the dilated polytope, the same meaning of above definition just change the variables.

## Proposition (1): [1]

Let  $m(qA)$  be the multiplicity function of the dilated list then:

$$m(qA) = q^{r(A)} m(A)$$

## Lemma (1):[1]

Let  $M_{qX}(x, y)$  be the multiplicity Tutte polynomial of the dilated polytope  $qX$ , then

$$M_{qX}(x, y) = q^n M_X\left(\frac{x-1}{q} + 1, y\right)$$

## Proof

By defined:

$$M_{qX}(x, y) = \sum_{A \subseteq X} m(qA) (x-1)^{n-r(A)} (y-1)^{|A|-r(A)}$$

Since,

$$m(qA) = q^{r(A)} m(A)$$

Then,

$$M_{qX}(x, y) = \sum_{A \subseteq X} q^{r(A)} m(A) (x-1)^{n-r(A)} (y-1)^{|A|-r(A)}$$

Divided above equation by  $\frac{q^n}{q^n}$  we get,

$$\begin{aligned} M_{qX}(x, y) &= \sum_{A \subseteq X} q^n m(A) \frac{(x-1)^{n-r(A)}}{q^{n-r(A)}} \cdot (y-1)^{|A|-r(A)} \\ &= \sum_{A \subseteq X} q^n m(A) \left(\frac{x-1}{q}\right)^{n-r(A)} (y-1)^{|A|-r(A)} \end{aligned}$$

Now we added 1 and subtract 1 from  $\left(\frac{x-1}{q}\right)$  it is yeilds that:

$$= \sum_{A \subseteq X} q^n m(A) \left( \frac{x-1}{q} - 1 + 1 \right)^{n-r(A)} (y-1)^{|A|-r(A)}$$

Therefore

$$M_{qX}(x, y) = q^n M_X \left( \frac{x-1}{q} + 1, y \right).$$

■

**Theorem (1): (D. Moci), [2]**

Let  $v \in X$  and set  $X_1 = X_2 = X \setminus \{v\}$ , If  $\text{rk}(\{v\}) = 1$  and  $\text{rk}(X \setminus \{v\}) = \text{rk}(X)$ , then

$$M_X(x, y) = M_{X_1}(x, y) + M_{X_2}(x, y)$$

Before proof theorem (1) we introduce two fundamental constructions, **(deletion and contraction)**: which are natural reductions for many network models arising from a wide range of problems at the hearts of computer science, engineering, optimization, physics, and biology.

**Definition (8):** let  $(X, I, m)$  be an arithmetic matroid,  $v \in X$  and set  $X_1 = X_2 = X \setminus \{v\}$ , then the triple  $(X_1, I, m_1)$  is **the deletion** of  $v$ , i.e.  $\text{rk}_1(A) = \text{rk}(A)$  and  $m_1(A) = m(A)$  for all  $A \subseteq X_1$ .

**Definition (9):** let  $(X, I, m)$  be an arithmetic matroid,  $v \in X$  and set  $X_1 = X_2 = X \setminus \{v\}$ , then the triple  $(X_2, I, m_2)$  be **the contraction** of  $v$ , i.e.

$$\text{rk}_2(A) := \text{rk}(A \cup \{v\}) - \text{rk}(\{v\}) \text{ and } m_2(A) := m(A \cup \{v\}) \text{ for all } A \subseteq X_2.$$

Once, can proof theorem (1) immediately

**Proof**

The sum expressing  $M_X(x, y)$  splits into two parts. The first is over the sets

$$A \subseteq X_1,$$

$$M_{X_1}(x, y) = \sum_{A \subseteq X_1} m(A) (x-1)^{\text{rk}(X)-\text{rk}(A)} (y-1)^{|A|-\text{rk}(A)}$$

$\text{rk}(X) = \text{rk}(X)$  is the rank of  $X$ .

Since clearly  $\text{rk}(X) = \text{rk}(X_1)$ . The second part is over the sets  $A, \lambda \in A$ , where  $\lambda$  is a non-zero element. For such sets we have that:

$$|\bar{A}| = |A| - 1, \text{rk}(\bar{A}) = \text{rk}(A) - 1, \text{rk}(X_2) = \text{rk}(X) - 1, m(A) = m(\bar{A}).$$

Therefore

$$M_{X_2}(x, y) = \sum_{A \subseteq X, \lambda \in A} m(A) (x-1)^{\text{rk}(X)-\text{rk}(A)} (y-1)^{|A|-\text{rk}(A)} = \sum_{\bar{A} \subseteq X_2} m(\bar{A}) (x-1)^{\text{rk}(X_2)-\text{rk}(\bar{A})} (y-1)^{|\bar{A}|-\text{rk}(\bar{A})}. \blacksquare$$

**Corollary (1): [1]**

The number  $|Z(X) \cap \Lambda|$  of integer points in the zonotope is equal to  $M_X(2, 1)$ .

**Proof:** by applying deletion-contraction. We can reduce to the case in which  $X$  is a basis of  $U$ ,  $U$  is the real vector space.

Such that  $U = A \otimes \mathbb{R}$  then in this basis  $Z(X)$  is parallelepiped.

For every face  $F$  we define  $A_F$  as subset of  $X$  corresponding to the coordinates which are not constant of  $F$ . Since all the other coordinates are identically equal either to 0 or to 1, for every  $A \subseteq X$  there are exactly  $2^k$  faces  $F$  s.t.  $A_F = A$ ,  $k = |X \setminus A|$  among these faces the only contributing to  $M_X(1,1)$  is the one whose Constant coordinates are all equal to 0 i.e.  $Z(A)$ . On the other hand, to compute the total number of integer points we have to take all these  $2^k$  faces .since any two of them are disjoint and contain the same number of points. In their interior by  $M_X(x, 1) = \sum_{k=0}^n |J_k(x)| X^k$  see [4]

**Corollary (2):** [1]

The volume ( $Z(X)$ ) of the zonotope is equal to  $M_X(1,1)$ .

**Proof**

$Z(X)$  is paved by a family of polytopes  $\{\prod_B\}$ , where  $B$  varies among all the Bases extracted from  $X$ . And every  $\prod_B$  is obtained by translating the zonotope  $Z(B)$  generated by the list  $B$ .

Hence

$$\text{Vol.}(\prod_B) = |\det(B)|$$

However, when  $B$  is a basis

$$m(B) = [\Lambda : \langle B \rangle_Z] = |\det(B)|$$

Since

$$M_X(1,1) = \sum_{B \subset X, B \text{ basis}} m(B).$$

The claim is follows.

Now, according to the above definitions and theorem we get the following theorem with its proof:

**Theorem (2)**

Let  $X \subset \Lambda = \mathbb{Z}^n$ , the number of integral points for the zonohedron equals to the multiplicity ( $m(qA)$ ) iff the set of vectors  $X$  is a unit vectors and the number of components is  $n$ .

That is  $\mathcal{E}_X(q) = \sum_{A \subseteq X} m(qA)$  iff  $X$  is unit vectors.

**Proof**

If  $\mathcal{E}_X(q) = \sum_{A \subseteq X} m(qA)$ , let  $r(A)$  is the rank of  $A$ , i.e. The number of all spanned subspace of  $\mathbb{R}^n$ .

$$\text{Then } \mathcal{E}_X(q) = q^{r(A)} \sum_{A \subseteq X} m(A)$$

Since the number of components is  $n$ , then  $r(A)=n$  the number of cardinality.

$$\text{Then } |A|=r(A)$$

Only holds if  $X$  is a unit vectors.

Conversely,

If  $|A|=r(A)$  then

$$\begin{aligned}
\mathcal{E}_x(q) &= q^n M_x(1 + \frac{1}{q}, 1) \\
&= q^n \sum_{A \subseteq X} m(A) (x-1)^{n-r(A)} (y-1)^{|A|-r(A)} \\
&= q^n \sum_{A \subseteq X} m(A)
\end{aligned}$$

$$\mathcal{E}_x(q) = \sum_{A \subseteq X} m(qA),$$

Some examples that described the methods of compute the multiplicity Tutte polynomial and associated Ehrhart polynomial are given:

**Example (1)**

In this example let  $X = \{(1, 1), (1, -1)\} \subseteq \mathbb{Z}^2$ , with dimension  $n=2$ ,

$X$  can be written as

$$X = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

According to the formula given below,

$$M_X(x, y) = \sum_{A \subseteq X} m(A) (x-1)^{n-r(A)} (y-1)^{|A|-r(A)}$$

$m(A)$  need to compute for every  $A \subseteq X$ ,

$$m(\emptyset)=1, m(\{v_1\}) = 1 = m(\{v_2\}),$$

$$m(\{v_1, v_2\}) = \left| \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \right| = |-2| = 2$$

Put the obtained result in the formula we get

$$M_X(x, y) = x^2 - 2x + 1 + 2(x-1) + 2 = x^2 + 1.$$

**Example (2)**

In this example, let  $X$  be  $= \{(3, 3), (1, -1), (2, 0)\} \subseteq \mathbb{Z}^n$  with dimension  $n=2$ , then  $X$  can be written as

$$X = \begin{pmatrix} 3 & 1 & 2 \\ 3 & -1 & 0 \end{pmatrix}$$

According to the formula given below,

$$M_X(x, y) = \sum_{A \subseteq X} m(A) (x-1)^{n-r(A)} (y-1)^{|A|-r(A)}$$

After some computation, we get

$$m(\emptyset) = 1, m(v_1)=3, m(v_2)=1, m(v_3)=2$$

$$m(\{v_1, v_2\})=2, m(\{v_1, v_3\})=6, m(\{v_2, v_3\})=2$$

$$m(\{v_1, v_2, v_3\})=2.$$

Put the obtained results in the formula above we get,

$$M_X(x, y) = x^2 + 4x + 2y + 7$$

Then put the result above in the Ehrhart polynomial we get,

$$\mathcal{E}_x(q) = q^n M_x(1 + \frac{1}{q}, 1)$$

Where, q means the dilation of the polytope.

$$\mathcal{E}_x(q) = 14q^2 + 6q + 1.$$

q	1	2	3	4	5	6
Number of integral point	21	69	145	249	381	541

### Example (3)

In this example consider the list in  $\mathbb{Z}^2$

$X = \{(3, 0), (0, 2), (1, 1)\}$  with dimension  $n=2$ ,

Then X can be written as

$$X = \begin{pmatrix} 3 & 0 & 1 \\ 0 & 2 & 1 \end{pmatrix}$$

According to the formula given below,

$$M_X(x, y) = \sum_{A \in X} m(A) (x-1)^{n-r(A)} (y-1)^{|A|-r(A)}$$

After some computation, we get

$$m(\phi) = 1, m(v_1)=3, m(v_2)=2, m(v_3)=1$$

$$m(\{v_1, v_2\})=6, m(\{v_1, v_3\})=3, m(\{v_2, v_3\})=2$$

$$m(\{v_1, v_2, v_3\})=1$$

Put the obtained results in the formula above we get,

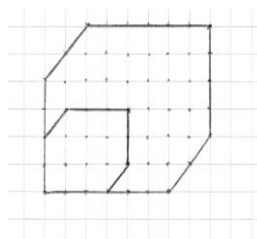
$$M_X(x, y) = (x-1)^2 + (3+2+1)(x-1) + (6+3+2)(y-1) = x^2 + 4x + y + 5$$

Then put the result above in the Ehrhart polynomial we get,

$$\mathcal{E}_x(q) = q^n M_x(1 + \frac{1}{q}, 1)$$

Where, q means the dilation of the polytope.

$$\mathcal{E}_x(q) = 11q^2 + 6q + 1$$



q	1	2	3	4	5	6
Number of integral point	18	57	118	201	306	433



**Example (4)**

In this example let  $X = \{(1,0,0), (0,1,0), (0,0,1)\} \subseteq \mathbb{Z}^3$  with dimension  $n=3$ , then  $X$  can be written as

$$X = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, X \text{ is the set of generating vectors of unit cube.}$$

According to the formula given below,

$$M_X(x, y) = \sum_{A \subseteq X} m(A) (x-1)^{n-r(A)} (y-1)^{|A|-r(A)}$$

After some computation, we get

$$m(\emptyset) = 1, m(v_1) = m(v_2) = m(v_3) = 1$$

$$m(\{v_1, v_2\}) = 1, m(\{v_1, v_3\}) = 1, m(\{v_2, v_3\}) = 1$$

$$m(\{v_1, v_2, v_3\}) = 1,$$

Put the obtained results in the formula we get,

$$M_X(x, y) = x^3 + 1.$$

Put the result above in the Ehrhart polynomial we get,

$$\mathcal{E}_X(q) = q^n M_X(1 + \frac{1}{q}, 1)$$

Where  $q$  means the dilation of the polytope.

$$\mathcal{E}_X(q) = q^3 + 3q^2 + 3q + 1.$$

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